

DDO FIRST QUARTER REPORTING

Instructions apply to

- Platform Distributors
- Investment Managers providing reporting on direct investments
- Unit registries
- Data aggregators providing reporting on behalf of platforms

NOTES:

- Data must be de-sensitised and provided in XLS format only at this stage
- Data must conform to FSC data standards v1.3 and include a report contact name, email address and telephone number
- Equity Trustees Limited will acknowledge receipt and contact you with any queries on the content
- Latest FSC data standards for DDO can be found in the link below
<https://www.fsc.org.au/resources/target-market-determination-templates>

From 5
October
2021
ongoing

- Report any **suspected significant dealing** and send information to incident.report@eqt.com.au **no later than 10 Business days** of becoming aware
 - Complaint data send using FSC standard data format if possible, within 10 business days following end of calendar quarter to incident.report@eqt.com.au.
 - Dealings outside the target market (to the extent the distributor is aware of it), distributors report to DDOIM@eqt.com.au within 10 business days following end of calendar quarter
- One off test report**
- Quarterly static reporting. Send test report with dummy data to DDOIM@eqt.com.au no later than 31 October 2021

By 19
January
2021

Send first quarterly
distributor report to
DDOIM@eqt.com.au